

41

5923

Attorney's Docket No.: 07977-188002 / US 3427/3434D1

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Shunpei Yamazaki et al.      Art Unit : 2823  
Serial No. : 10/024,850      Examiner : William D. Coleman  
Filed : December 18, 2001      Confirmation No.: 8129  
Title : SEMICONDUCTOR DEVICE AND METHOD OF MANUFACTURING THE  
SAME

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**INFORMATION DISCLOSURE STATEMENT**


Copies of the references listed on the attached form PTO-1449 are enclosed.

This statement is being filed after a first Office action on the merits, but before receipt of a final Office action or a Notice of Allowance. I, the undersigned, hereby certify that each item of information contained in this statement was cited in a communication from a foreign patent office in counterpart Chinese Application No. 99124857.0, the communication being dated July 4, 2003, which is not more than three months prior to the filing of this statement.

No fees are believed due. Please apply any charges or credits to Deposit Account No. 06-1050.

Respectfully submitted,

Date: October 3, 2003

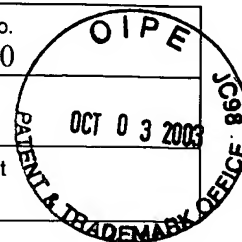
  
John F. Hayden  
Reg. No. 37,640

Fish & Richardson P.C.  
1425 K Street, N.W., 11th Floor  
Washington, DC 20005-3500  
Telephone: (202) 783-5070  
Facsimile: (202) 783-2331

40179717.doc

RECEIVED  
OCT - 7 2003  
TECHNOLOGY CENTER 2800

Substitute Form PTO-1449 (Modified)  <b>Information Disclosure Statement by Applicant</b> (Use several sheets if necessary)  (37 CFR §1.98(b))	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 07977-188002	Application No. 10/024,850
	Applicant Shunpei Yamazaki et al.		
	Filing Date December 18, 2001	Group Art Unit 2823	



U.S. Patent Documents							
Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,882,960	03/16/1999	Zhang et al.			08/15/1997
	AB	5,895,933	04/20/1999	Zhang et al.			06/03/1997
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

RECEIVED  
OCT -7 2003  
TECHNOLOGY CENTER 2800

Foreign Patent Documents or Published Foreign Patent Applications								
Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AL	07-321339	12/08/1995	JAPAN			ABS	
	AM	0 631 325 A2	12/28/1994	EUROPE				
	AN							
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)		
Examiner Initial	Desig. ID	Document
	AQ	
	AR	
	AS	
	AT	

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	